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Prophylactic anticoagulant therapy decreases the incidence of deep vein thrombosis in patients with solid tumors: A systematic review and meta-analysis

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ABSTRACT

It is not well understood the efficacy and safety of primary deep vein thrombosis (DVT) prophylaxis of anticoagulants in patients with solid tumors. This systematic review and meta-analysis of randomized controlled trials (RCT) determines the relative ratio of primary DVT, survival rate and bleeding events among patients with solid tumors treated with anticoagulants or placebo. Comprehensive literature searches were conducted through the Pubmed, Ovid MEDLINE and EMBASE databases published from January 1st, 1993 to December 31st, 2015. Statistical analysis was performed by RevMan 5.0 software. For DVT events, the risk ratio in 16 trials between the prophylactic and control patients was statistically significant at 0.45 [0.36-0.58]; for major bleeding events, the risk ratio in 18 trials between the prophylactic and control patients was not statistically significant at 1.33 [0.99-1.79], while that in 15 trials with clinically relevant non-major bleeding was statistically significant at 0.97 [0.93-1.02]. In conclusion, the risk ratio in this meta-analysis showed a significantly reduced incidence of DVT with anticoagulant use. Treatment to patients who had solid tumors with prophylactic anticoagulants enhanced the incidence rate of non-major bleeding but has no significant impact on the incidence rate of major bleeding. No significant differences were found in the mortality outcomes between anticoagulant and non-anticoagulant groups.

Key Words: Prophylactic anticoagulant, Deep vein thrombosis, Mortality, Solid tumors

1. INTRODUCTION

The risk of postoperative venous thromboembolism, including deep vein thrombosis (DVT) and pulmonary embolism (PE),^[1] is reported to be twice higher in patients with cancer than in those without cancer.^[2] Thenceforth, several prospective or cohort studies demonstrated that compared with patients without cancer, patients with cancer, especially those with solid tumors had an increased risk of DVT.^[3,4] The incidence of thromboembolic events in patients with cancers varied from 1.6% to 5.3%.^[4–10] Among thromboembolic patients, those with pancreatic cancer or brain cancer had the highest risk of DVT, 4- or 3-folds more than patients

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without cancers.[8,10]

The close association between hypercoagulability and risk of thrombosis in cancer patients has been recognized by Armand Trousseau since 1865.^[11, 12] From then on, increasing studies suggested that the mechanism could be a positive feedback loop between tumor cells and haemostatic system.^[13] Besides this, chemotherapy was increasingly recognized as a risk factor for thromboembolic complications due to the induced damage of vascular endothelium,^[14] which may amplify the prothrombotic effects of cancer cells^[5, 15] and damage vessel walls,^[16, 17] leading to the activation of haemostatic system. So DVT is a leading cause of death among patients treated with chemotherapy, only second to progression of malignancy.^[18]

The type of malignancy, tumor stage, surgeries, genetics, or even ages, sex and races also contribute to high risk of thromboembolic events in cancer patients.^[19] It is reported that 1-year survival rate of cancer patients with DVT is significantly shortened, only a third of those are without thrombosis.^[20] Once patients suffered from DVT, the treatment is highly intensive and costly. In a retrospective analysis, the mean length of hospitalization in cancer patients with DVT was 11 days, and the average cost of hospitalization was \$ 20,065.^[21]

Since cancer patients may be at high risk of developing DVT and other types of thromboembolic events,^[3,22] DVT is recognized as a risk factor to predict mortality of cancer patients. However, routine antithrombotic prophylaxis is only recommended for cancer patients undergone surgery or admitted for medical treatments in hospital. The current guidelines of the American and European Societies do not recommend routine use of prophylactic anticoagulant treatment in patients receiving chemotherapy, except for myeloma patients on thalidomide,^[23-25] partially due to the conflicting results on the efficacy of anticoagulants from clinical trials, the potential risk of increasing bleeding events, and the lack of a suitable anticoagulant that can be easily administered once out of hospital. Therefore, we performed the present meta-analysis based on the latest research to understand the effect and safety of primary DVT prophylaxis in ambulatory patients with solid tumors.

2. METHODS

2.1 Inclusion and exclusion criteria

Studies were included in the present meta-analysis if they met the following criteria: 1) Randomized controlled trials (RCTs) in any language published from Jan 1st, 1993 to Dec 31st, 2015; 2) outpatients with solid tumors; 3) control group received either placebo or no treatment at all, was included in this study as comparison with thromboprophylaxis treatment group; 4) outcome measures including incidence of DVT, bleeding events including major bleeding and clinically relevant non-major bleeding, or mortality rate.

Studies were excluded if they met the following criteria: 1) cancer patients without solid tumors, such as myeloma or lymphoma; 2) the incidences of DVT and PE were combined with incidence of venous thromboembolism (VTE) or thrombosis complications; 3) JADAD Score < 3.

2.2 Study identification and assessment of study quality

Systematic computerized search of the online databases, including Pubmed, Ovid MEDLINE and EMBASE, was conducted by 2 reviewers independently. The key words used for the search were: "deep vein thrombosis" OR "venous thromboembolism" AND "prophylaxis" OR "prevention" AND "cancer" OR "solid tumor" with each of these short phrases separately. The prophylactic anticoagulants include unfractionated heparin (UFH), low molecular or ultra-low molecular weight heparin (LMWH), direct thrombin inhibitors or direct factor X_a inhibitors. Two reviewers reviewed the titles and abstracts independently, and also searched the bibliographies in the relevant studies for any further potential studies.

With the use of JADAD Score, all eligible studies were scored by 2 reviewers independently. To assess the methodological quality of a clinical trial, scale of 0 (very poor) to 7 (rigorous) was used to evaluate studies based on their description of randomization, concealment of allocation, double blinding, and dropouts (withdrawals).^[26] Trials with a JADAD score of 1-2 were considered poor, a score of 3 was considered adequate and a score of 4 or higher was considered as high trial quality. Any conflicts between 2 reviewers were resolved after discussion to achieve consensus.

2.3 Data collection and outcome definition

The following data for both the thromboprophylaxis treatment group and the control group was extracted from all eligible studies: patient characteristics, number of patients, type of anticoagulants, method of anticoagulants administration, dose of anticoagulants, type of control agents (saline, no thromboprophylaxis, *etc.*), method of DVT diagnosis, incidence of DVT, incidence of bleeding events including major bleeding and clinically relevant non-major bleeding, as well as mortality rate.

The primary efficacy outcome was primary DVT and survival. The DVT events could be symptomatic or asymptomatic. Diagnosis of DVT could be performed by Doppler imaging, ventilation scan or venography. All recurrent DVT events were excluded. Survival data only include the mortality rate. The end point of primary safety was bleeding, including events. Major bleeding events refer to those requiring trans- in haemoglobin > 2 g.

major bleeding and clinically relevant non-major bleeding fusion of blood products, bleeding in critical organs or drop

Table 1. Study characteristics

Study, Year	Types of cancer	No. of patients	Tumor type and/or stage	Types of anti- coagulant	Anticoagulant administration method	Dose of anti- coagulant	Control reagent	DVT detected Positions	DVT diagnostic method	JADAD score
Pelzer, 2015 ^[27]	Patients with advanced pancreatic cancer	312	Primary 266/312; M0 75/312; M1 237/312	LMWH	Sub- cutaneously	1 mg/kg once daily for 3 months	No	Proximal leg, distal leg or upper extremity	Staging computed tomography or magnetic resonance imaging	3
Macbeth, 2015 ^[28]	Patients with primary bronchial carcinoma	2,202	SCLC extensive 242/392; NSCLC IV 1009/1810	LMWH	Sub- cutaneously	5,000 IU once daily for a maximum of 24 weeks	No	N/A	N/A	3
Lecumberr, 2013 ^[29]	Patients with limited- stage small cell lung	38	Limited-stage	Bemiparin	Sub- cutaneously	3,500 IU [*] daily	No	N/A**	N/A	4
Lavau-Denes, 2013 ^[30]	cancer Patients with solid invasive cancer (locally advanced or metastatic) with catheter	407	Head & neck 96; Breast 43; Lung/ Pleura 45; Colorectal & Anal 60; Esophagus & Stomach 64; Other digestive 3; Pancreas & Biliary tract 20; Urinary 32; Pelvic gynecological 19; Other	Warfarin LMWH ^{***} (dalteparine, nadroparine, enoxaparine)	Oral Sub- cutaneously	l mg daily Recommended doses for prevention	No	Upper limbs and cervical veins	Systematic Doppler ultrasound	5
Zwicker, 2013 ^[31]	Advanced cancer patients with high TFBM, adenocarcinoma of the pancreas (locally advanced or metastatic), colorectal (stage IV), non-small cell lung cancer (stage III or IV), relapsed or stage IV ovarian, or surgically unresectable or metastatic gastric adenocarcinoma	66	14; Primary unknown 17 Pancreatic 30/66; non-small cell lung 21/66; colorctal 15/66	Enoxaparin	Sub- cutaneously	40 mg once daily	No	Bilateral lower extremity	Ultrasound	6
Haas1, 2012 (TOPIC-1) ^[32]	Patients with disseminated metastatic breast carcinoma	353	Disseminated metastatic breast carcinoma 353	Certoparin	Sub- cutaneously	3,000 IU once daily, for 6 months	Placebo	N/A	Venography and/or ultrasonography	7
Haas2, 2012 (TOPIC-2) ^[32]	Patients with stage III/IV non-small-cell lung	546	Stage IV 289/546	Certoparin	Sub- cutaneously	3,000 IU once daily, for 6	Placebo	N/A	Venography and/or	7
Agnelli, 2012 ^[33]	carcinoma Patients with metastatic or locally advanced cancer of the lung, pancreas, stomach, colon or rectum, bladder, or ovary	3,212	Lung 1180; Pancreas 254; Stomach 411; Colon/Rectum 925; Bladder 63; Ovary 279; Metastatic 2192; Locally Advanced 1020	Semuloparin	Sub- cutaneously	20 mg once daily	Placebo	Lower or upper limbs	ultrasonography N/A	7
Levine, 2012 ^[34]	Patients with advanced or metastatic lung, breast, GI (colon, rectum, pancreas, stomach), bladder, cancer of unknown origin, ovarian or prostate cancer, myeloma or selected lymphomas	125	Breast 32: Lung 12; Pancreas 15; Stomach 2; Colon/Rectum 14;Ovary 2; Prostate 13; Liver metastases 29	Apixaban	Oral	5 mg/10 mg/ 20 mg once daily for 12 weeks	Placebo	Proximal venous segments, popliteal or higher of the legs	Compression ultrasound or venography	7
Maraveyas, 2012 ^[35]	Patients with non-resectable, recurrent or metastatic pancreatic adenocarcinoma	123	Locally advanced 57/123; Metastatic 66/123	Dalteparin	Sub- cutaneously	200 IU/kg once daily for 4 weeks followed by a step-down to 150 IU/kg for a further 8 weeks	No	N/A	N/A	5
Tang, 2012 ^[36]	Patients with bone tumor undergoing knee	100	Benign 55/100; Malignant 45/100	Rivaroxaban	Oral	10 mg daily	No	Bilateral lower	Venous Doppler	3
Van Doormaal, 2011 ^[37]	operation Patients with non-small cell lung cancer (stage IIIB), hormone- refractory prostate cancer or locally advanced pancreatic cancer	503	Prostate cancer 197/503; NSCLC 169/503; Pancreatic cancer 135/503	Nadroparin	Sub- cutaneously	2 weeks (< 50 kg, 3,800 IU twice daily; 50-70 kg, 11,400 IU once daily; >70 kg, 15,200 IU once daily); followed by half dose for an additional 4 week	No	extremity N/A	N/A	4
Perry, 2010 ^[38]	Patients newly diagnosed WHO Grade 3 or Grade 4 glioma (anaplastic astrocytoma, glioblastomamultiforme, gliosarcoma, anaplastic oligodendroglioma or anaplastic mixed glioma)	186	Grade 3 or Grade 4 glioma	Dalteparin	Sub- cutaneously	5,000 IU once daily for 6 months, up to 12 months	Placebo	Popliteal or more proximal segments of the deep veins of the lower limbs	Ascending venography or compression ultrasound	5
Young AM, 2009 ^[39]	Cancer patients with catheters	812	Colorectal 418/812; Upper gastrointestinal tract 201/812; Breast 64/812; Early/no Residual 264/812 Advanced 542/812	Warfarin	Oral	Fixed dose at Img daily or dose-adjusted to maintain the INR**** between 1.5 and 2.0	No	N/A	Venography, ultrasonography	5

(Table 1 continued on page 38.)

Table 1. (continued)

Study, Year	Types of cancer	No. of patients	Tumor type and/or stage	Types of anti- coagulant	Anticoagulant administration method	Dose of anti- coagulant	Control reagent	DVT detected Positions	DVT diagnostic method	JADAD score
Agnelli, 2009 ^[40]	Patients with metastatic or locally advanced lung, gastrointestinal (stomach, colon or rectum), pancreatic, breast, ovarian, or head and neck cancer	1,150	Lung 279/1150; Stomach 98/1150; Colon 235/1150; Rectum 87/1150; Breast 165 1150; Ovary 143/1150; Head & neck 36/1150; Other 54/1150	Nadroparin	Sub- cutaneously	3,800 IU daily up to 4 months	Placebo	Lower or upper limbs	N/A	7
Shukla, 2008 ^[41]	Patients with colorectal cancer for curative resection	99	colorectal cancer	Dalteparin	Sub- cutaneously	2,500 IU once daily	No	Bilateral external iliac, common femoral, superficial femoral, popliteal, and anterior and posterior tibial veins	Duplex ultrasonography /Color Doppler	5
Sideras, 2006 ^[42]	Patients with advanced breast cancer, prostate cancer, lung cancer, colorectal cancer	138	Breast 15/138; Colon 36/138; Postate 18/138; Small cell lung 10/138; Non- small cell lung 59/138	Dalteparin	Sub- cutaneously	5,000 IU once daily	Placebo /No	N/A	N/A	7
Klerk, 2005 ^[43]	Patients with advanced malignancy	302	Metastatic disease 276/302; Locally advanced 26/302	Nadroparin	Sub- cutaneously	3,800 IU < 50 kg, 5,700 IU 50-70kg, 7,600 IU > 70 kg twice daily during the initial 14 days, and once daily thereafter for another 4 weeks	Placebo	N/A	N/A	7
Altinbas, 2004 ^[44]	Patients with small cell lung cancer	84	Limited 48/84; pleural effusion(+) 12/84; Extensive disease 36/84; One metastatic site 28/84; Two metastatic sites 8/84	Dalteparin	Sub- cutaneously	5,000 IU once daily for 18 weeks	No	N/A	N/A	4
Kakkar, 2004 ^[45]	Patients with advanced stage III or IV (locally advanced or metastatic) malignant disease of the breast, lung, gastrointestinal tract, pancreas, liver, genitourinary tract, ovary, or uterus	374	Breast 66/374; colorectal 70/374; ovarian 61/374; pancreatic 42/374; other 135/374	Dalteparin	Sub- cutaneously	5,000 IU once daily for 1 year	Placebo	N/A	N/A	7
Levine, 1994 ^[46]	Patients with metastatic breast cancer (stage IV)	311	Metastasis: Liver 101/311; Lung 97/311; Brain 1/311; Bone only 58/311; Regional nodes only 24/311; Chest wall only 9/311	Warfarin	Oral	1mg/kg once daily for 6 weeks	Placebo	N/A	Duplex ultrasonography or venography	5
Marassii, 1993 ^[47]	Cancer patients with major abdominal oncological surgery	61	Gastric 19; Ileal 3; Colonic 35; other 4	LMWH (Seleparina, CY 216)	Sub- cutaneously	3,825 IU twice daily	No	N/A	125 I-labelled fibrinogen leg scan	4

Note. *IU: International unit; **N/A: Information not available from the reference; ***LMWH: Low molecular weight heparin; ****INR: International normalized ratio.

2.4 Statistical analysis

Continuous data for each arm in a particular study was expressed as the mean and standard deviation (SD), and the treatment effect as the mean differences. Dichotomous data for each arm in a particular study was expressed as proportions or risks, and the treatment effect as risk differences. Relevant data was analyzed using RevMan 5.0. Heterogeneity was explored by Chi-squared test with a significance set at a p value of .05, and the quantity of heterogeneity was measured by I². The statistic I² value is a measure of the percentage of variation in the data that is as a result of heterogeneity as opposed to chance. I² values of 0-25% are considered low, 25%-75% as moderate, while values over 75% are considered high heterogeneity.^[22] For moderate heterogeneity, the fixed effects model was used; the random

effects model was used when the heterogeneity was high.

3. RESULTS

3.1 Literature search and study characteristics

A total of 1,410 potentially relevant articles were published from the databases, among which 1,376 were excluded according to our inclusion and exclusion criteria from the titles, abstracts and/or articles. Among the remaining 35 trials in the 34 articles, 13 were excluded due to the JADAD score < 3. Therefore, a total of 22 trials in 21 eligible studies^[27–47] were included in the final analysis, one of which was written in Chinese^[36] and all of the others were written in English. The key information of the study and patient characteristics was included in the present meta-analysis (see Tables 1 and 2).

Author (Year)	Patient number		Gender (Male)		Mean age (years, SD or range)		Mean BMI (kg/m ²) or weight (kg) (SD or range)		
	AC	Con	AC	Con	AC	Con	AC	Con	
Pelzer, 2015 [27]	160	152	91	94	62.0 (32.0-81.0)	63.0 (27.0-83.0)	24.3 (15.2-43.0)	23.8 (16-39.2)	
Macbeth, 2015 ^[28]	1,101	1,101	661	656	65.0 (59.0-71.0)	64.0 (58.0-71.0)	25.6 (22.9-29.0)	25.8 (22.7-29.1)	
Lecumberri, 2013 ^[29]	20	18	17	16	61.1 ± 7.5	64.5 ± 10.0	74.1 ± 12.1	77.1 ± 20.1	
Lavau-Denes, 2013 ^{[30] a}	134 138	135	81 78	84	59.0 ± 10.9 61.0 ± 10.6	60.0 ± 11.8	N/A*** N/A	N/A	
Zwicker, 2013 ^{[31] b}	23	11 32	14	5 19	68.1 (46.6-80.1)	67.5 (28.8-78.7) 62.8 (42.7-83.8)	23.8 (16.6-31.6)	23.8 (20.0-34.4) 26.3 (19.0-48.7)	
Haas1, 2012 (TOPIC-1) ^[32]	174	177	N/A	N/A	54.6 ± 10.3	56.6 ± 11.0	27.0 ± 4.9	27.5 ± 5.7	
Haas2, 2012 (TOPIC-2) ^[32]	268	264	227	227	60.8 ± 9.5	60.3 ± 10.0	24.7 ± 4.1	24.6 ± 4.2	
Agnelli, 2012 ^[33]	1,608	1,604	974	956	59.8 ± 10.6	59.4 ± 10.6	24.9 ± 5.1	24.7 ± 4.9	
Levine, 2012 ^{[34] c}	32 30 33	30	15 13 20	15	57.0 (41.0-67.0) 60.0 (39.0-76.0) 64.0 (25.0-86.0)	59.0 (20.0-82.0)	N/A	N/A	
Maraveyas, 2012 ^[35]	60	63	36	36	62.0 (40.0-79.0)	66.0 (43.0-82.0)	N/A	N/A	
Tang, 2012 [36]	50	50	26	28	33.7 ± 14.4	35.1 ± 16.6	N/A	N/A	
vanDoormaal, 2011 ^[37]	244	259	197	206	65.0 ± 10.0	65.0 ± 9.8	74.3 ± 15.5	73.2 ± 14.2	
Perry, 2010 [38]	99	87	61	50	57.0 (30.0-81.0)	55.0 (26.0-77.0)	N/A	N/A	
Young AM, 2009 ^[39]	408	404	252	247	60.0 (53.0-68.0)	61.0 (53.0-68.0)	N/A	N/A	
Agnelli, 2009 ^[40]	769	381	372	183	62.1 ± 10.3	63.7 ± 9.2	25.4 ± 4.4	25.2 ± 4.2	
Shukla, 2008 ^[41]	51	48	N/A	N/A	N/A	N/A	N/A	N/A	
Sideras,	24	26	12	11	64.5	63.5	N/A	N/A	
2006 ^{[42] d} Klerk, 2005 ^[43]	44 148	44 154	28 77	31 81	68.5 63.0 (36.0-86.0)	70.5	71.0 (40.0-135)	69.0 (43.0-96.0)	
Altinbas, 2004 ^[44]	42	42	33	35	57.5 (34.0-74.0)	64.0 (28.0-83.0) 58.0 (37.0-75.0)	N/A	N/A	
Kakkar, 2004 ^[45]	190	184	77	84	62.0 (53.8-68.4)	60.9 (52.4-69.4)	N/A	N/A	
Levine, 1994 ^[46]	152	159	N/A	N/A	57.1 ± 10.2	56.1 ± 10.9	N/A	N/A	
Marassii, 1993 ^[47]	31	31	N/A	N/A	N/A	N/A	N/A	N/A	

Table 2. Patients' characteristics

Note. *AC, anticoagulant group, **Con, control group, ***N/A, information not available from the reference. ^a upper line: warfarin group; lower line: LMWH group. ^b upper line: high plasma concentration of tissue factor-bearing microparticles (TFBM); lower line: low plasma concentrion of TFBM. ^c upper line: 5 mg apixaban; middle line: 10 mg apixaban; lower line: 20 mg apixaban. ^d upper line: blinded LMWH *vs.* placebo; lower line: unblended LMWH *vs.* standard care.

The number of patients in these trials ranged from 34 to 3,212. All of them were with solid tumors. A total of 6,033 patients in the prophylactic group and 5,456 patients in the control group were included. The median age of participants in these trials was between 33.7 to 70.5 years old, and was similar in these 2 groups. In 3 articles warfarin was used for primary prophylactic anticoagulant, in another 2 trials, apixaban or rivaroxaban was used as primary thromboprophylaxis, and the rest low molecular weight heparin (LMWH) was sub-

cutaneously injected as primary interventions, with placebo as control reagent if there is one.

3.2 Incidence of DVT in patients treated with prophylactic anticoagulants

Information on the incidence of DVT in patients with solid tumors was reported in 16 trials, including 5,304 patients in the prophylactic group and 4,703 patients in the control group. A total of 270 DVT events were identified in the prophylactic group (89) and the control group (181). DVT was identified in bilateral lower or upper limbs, cervical veins, or more proximal venous segments. The incidence of DVT in cancer patients treated with prophylactic anticoagulants was 0 to 12%, and that in the control group was 0 to 35%. The pooled DVT incidence rates were 1.68% (89/5,304) and 3.85% (181/4,703) for the prophylactic group and control group, respectively (see Figure 1a). Only one study in which

prophylactic treatment produced worse outcome reported a relevant publication bias (van Doormaal 2011,^[37] see Figure 1b). Treatment with prophylactic anticoagulants to patients with solid tumors significantly reduced the DVT incidence rate (p < .00001, RR = 0.45, 95%CI: 0.36-0.58), without statistically significant heterogeneity between trials (p = .11, $I^2 = 33\%$).



Figure 1. a) The prophylactic anticoagulants significantly reduced the DVT incidence in patients with solid tumors. b) Funnel plot corresponding to primary analysis of DVT prevention in patients with solid tumors.

3.3 Incidence of bleeding events treated with prophylactic anticoagulants

Eighteen trials with data on major bleeding were analyzed for statistical significance. A total of 5,629 patients were in the prophylactic group and 5,187 in the control group. A total of 170 major bleeding events were reported, with 99 events in the prophylactic group and 71 events in the control group, respectively. The incidence of major bleedings in patients treated with prophylactic anticoagulants was between 0 and 8.13%, and that in the control group was between 0 and

7.14%. The pooled incidence rates of major bleedings were 1.76% (99/5,629) and 1.37% (71/5,187) for the prophylactic and control group, respectively (see Figure 2a). We did not detect a relevant publication bias in the present analysis (see Figure 2b). Treatment with prophylactic anticoagulants to patients with solid tumors did not significantly increase the major bleeding rate (p = .06, RR = 1.33, 95%CI: 0.99-1.79), without statistically significant heterogeneity between trials (p = .71, $I^2 = 0\%$).



Figure 2. a) The prophylactic anticoagulants didn't cause significant risk of major bleedings in patients with solid tumors. b) Funnel plot corresponding to primary analysis of major bleeding occurrence in patients with solid tumors.

Fifteen trials were enrolled for statistical analysis of clinically relevant non-major bleeding events. A total of 5,321 patients were in prophylactic group and 4,877 in control group. In these studies, 316 non-major bleedings including 219 in the prophylactic group and 97 in the control group were reported. The incidence of non-major bleedings in patients treated with prophylactic anticoagulants was between 0.74% and 10.00%, and that in the control group was between 0 and 22.22%. The pooled incidence rates were 4.12% (219/5,321) and 1.99% (97/4,877) for the prophylactic and control patients, respectively (see Figure 3a). Statistical significance was observed between the 2 groups regarding the incidence of clinically relevant non-major bleeding events (p < .00001, RR = 1.83, 95%CI: 1.46-2.30), with moderate heterogeneity between trials (p = .01, I² = 52%).

3.4 Mortality rate of patients treated with prophylactic anticoagulants

To assess the mortality rate, 5,297 prophylactic patients and 4,718 control patients from 16 trials were analyzed. 4,396 patients including 2,253 prophylactic patients and 2,143 control patients died during the follow-up period. The mortality rate in cancer patients treated with prophylactic anticoagulants was between 0 and 60.81%, and that in control patients was from 0 to 72.73%. The pooled mortality rate was 42.53% (2,253/5,297) and 45.42% (2,143/4,718) for the prophylactic and control groups, respectively (see Figure 4a). Although after prophylactic anticoagulant treatment, the mortality rate of the patients with solid tumors was not statistically significant (p = .22, RR = 0.97, 95%CI: 0.93-1.02), the overall mortality rate of the prophylactic patients was still lower than that of the control. Moderate heterogeneity between trials was found (p = .05, I² = 40%).



Figure 3. a) Statistical difference between the prophylactic group and the control group was observed regarding the incidence of minor bleedings in patients with solid tumors. b) Funnel plot corresponding to primary analysis of clinical relevant non-major bleeding occurrence in patients with solid tumors.

4. DISCUSSION

Cancer treatment, especially chemotherapy, is always associated with an activation of haemostatic system.^[48] The underlying mechanism of prothrombosis caused by cancer itself and various treatments is not clear. Over the last few decades, only a few studies have been focused on it.^[15]

Due to the fact that cancer patients have high risk to develop venous thrombosis because of the activation of haemostatic system during cancer progression,^[2] many cohort or prospective studies investigated the side effects of prophylactic anticoagulants, most of which were heparin-based interventions.^[27–47] The inhibitory effect on cancer progression and the survival by low molecular weight heparin was first reported in 1992 by Prandoni *et al.* They found a significant reduction of overall mortality rate among cancer patients with VTE who took LMWH treatment.^[49] However, partially due to the conflicting results from clinical trials and

the fear of bleeding, the current guidelines of the American and European Societies do not recommend routine use of prophylactic anticoagulant treatment in ambulatory patients who receive chemotherapy, except for myeloma patients on thalidomide.^[23–25] Therefore it is necessary to perform the present meta-analysis based on the latest research to investigate the efficacy and safety of primary DVT prophylaxis in patients with solid tumors.

In this study, we analyzed 22 randomized controlled trials. The effects of antithrombotic prophylaxis on the incidence rates of DVT and bleedings, as well as the mortality rate in cancer patients were analyzed. We found that the pooled DVT incidence rates were 1.68% (89 events in 5,304 patients) for the prophylactic patients and 3.85% (181 events in 4,703 patients) for the control patients. Compared with placebo or no anticoagulants, a 56.36% reduction in DVT events with the use of prophylactic anticoagulants was observed. Overall,

our analysis showed that thromboprophylaxis significantly reduced the episodes of DVT in patients with solid tumors (p < .00001). Regarding bleeding, the pooled incidence rates of major bleeding events and clinically relevant non-major bleeding events were 1.76% (99 events in 5,629 patients) and 4.12% (219 events in 5,321 patients) in the prophylactic group, respectively; and were 1.37% (71 events in 5,187 patients) and 1.99% (97 events in 4,877 patients) in the control group, respectively. Our analysis showed that thromboprophylaxis did not have significant impact on the incidence rate of major bleedings (p = .06). Although the difference on the incidence rates of clinically relevant non-major bleedings between the 2 groups was statistically significant (p < .00001), all these bleedings did not locate in critical organs and were all well-controlled. Additionally, although the mortality benefit derived from thromboprophylaxis was not statistically significant (p = .22), the overall mortality rate in the prophylactic group was still lower than that in the control group (42.53% and 45.42%, respectively). The heterogeneity of the mortality rate among studies was high, which might partially be due to the different prognosis of various solid tumors. Therefore, to minimize the heterogeneity of the studies, the mortality rate was evaluated with the data at 1-year follow-up period. If the study did not specify the follow-up time point or the follow up is < 1 year, the mortality rate was evaluated with the data during the entire follow-up period.

The total events in this meta-analysis were more than previously published events, and there were 3 key aspects in our analysis: 1) we only included solid tumor malignancies, 2) we only included ambulatory cancer patients, 3) and we only focused on incidence rates of DVT instead of all kinds of venous thromboembolism.

As for mortality rate of patients with solid tumors, we did not observe a significant difference between prophylactic and control patients (p = .22). The lack of significant benefit on survival rate in current analysis might be attributed to the controversial results from the involved studies. Several clinical trials claimed that low-molecular weight heparin could prolong the survival of cancer patients.^[45–47] While two studies from Agnelli G et al.^[33,40] which were with 2 of the 3 highest weights in the analysis (9.4% and 32.6%, respectively), showed no beneficial effects of prophylactic anticoagulants on survival. Several factors may cause such results in their studies. First, the duration of prophylactic anticoagulant treatment in their study was shorter. Second, the dose of low-molecular-weight heparin, and the prophylactic anticoagulant in their study was lower than the doses showing a favorable effect on survival. Third, the patients included in their studies were with metastatic or locally advanced disease, whereas most of the benefit from low-molecular-weight heparin noted in survival studies has been observed in patients with less advanced disease. Nonetheless, the overall mortality rate of the prophylactic group was still lower than that of the control group (42.53% *vs.* 45.42%), which suggested a beneficial trend of prophylactic anticoagulant treatment. Further studies with longer duration and higher dose of treatment will be required to confirm the effects of prophylactic anticoagulant treatments.

To date, various kinds of anticoagulants have been developed, including heparin, different types of low molecular weight heparin, warfarin, vitamin K antagonists, and new oral anticoagulants. Among these anticoagulants, heparin or low molecular weight heparin is still used as most primary intervention. Among the 22 trials included in this meta-analysis, 18 studies used low molecular weight heparin as primary prophylactic anticoagulant intervention. Several mechanisms were ever proposed for the antitumor effects of heparins, such as induction of apoptosis, inhibition of tumor cell proliferation, angiogenesis and extracellular matrix remodeling, prevention of metastatic spreading by interfering the adhesion of cancer cells to the endothelium.^[20,51,52] For instance, the ABEL study (Adjuvant Bemiparin in Small Cell Lung Cancer)^[29] supported the hypothesis that the main anti-tumor effects of bemiparin in vivo might be the prevention of distant metastasis of the tumor cells rather than direct cytoreduction, since the benefit in terms of survival observed in patients receiving low molecular weight heparin was not associated with a better response rate to chemoradiotherapy.^[29]

However, most thromboprophylactic drugs available currently are not ideal for DVT prophylaxis, because they can not be easily administered once patients are out of hospital. And this may also contribute to the fact that prophylactic anticoagulants are not routinely used to cancer patients with chemotherapy treatment. For instance, unfractionated heparin and low molecular weight heparin require subcutaneous injection every day. Warfarin is also difficult to be administered since it will induce nausea, vomiting, poor nutrition and interaction with other medications. Therefore, new oral anticoagulants, such as apixaban, which is a new factor X_a inhibitor targeting the active site of factor X_a without requiring antithrombin III, might be a better choice for extended DVT prophylaxis in cancer patients with chemotherapy. These new oral anticoagulants are administered orally and do not require laboratory monitoring and dose adjustment. Additionally, new oral anticoagulants have shorter half-life, which could facilitate temporary interruptions for invasive procedures or when thrombocytopenia occurs.^[53] One recent meta-analysis compared the efficacy and safety of new oral anticoagulants with vitamin K antagonists in patients with cancer-associated acute venous thromboembolism.^[54] It showed that both efficacy and safety of new oral anticoagulants in treatment of cancer-associated acute symptomatic venous thromboembolism was at least comparable to those of vitamin K antagonists. Although new oral anticoagulants are yet not be recommended as the first-line treatment for venous thromboembolism in cancer patients compared with low molecular weight heparin, these new oral anticoagulants have more apparent advantages. Therefore, more prospective clinical trials for the evaluation of their efficacy and safety among cancer patients are urgently required in the near future.



Figure 4. a) Forest plot diagram showing the effect of prophylactic anticoagulants on the mortality rate of patients with solid tumors. b Funnel plot corresponding to primary analysis of mortality in patients with solid tumors.

5. CONCLUSIONS

The present meta-analysis demonstrated that prophylactic anticoagulants in cancer patients could significantly reduce the incidence rates of DVT, while had no significantly impact on the incidence rate of major bleeding events but increased the incidence of clinically-relevant non-major bleedings. Our results suggest that the prophylactic anticoagulants could be used for cancer patients without major bleeding risks.

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CONFLICTS OF INTEREST DISCLOSURE

The authors declare that there is no conflict of interest statement.

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